

STUDENT ASSESSMENT POLICY 2023-24

Overall responsibility: Deputy Principal: Patrick Leavey

Implementation: Department: Quality

Date issued: June 2022, updated June 2023

Date for review: June 2024

Endorsed and approved by Policy & Strategy Group Date: June 2023

Pat Brennan-Barrett

PB Barrett

Principal

Contents

1.	INTRODUCTION	3
2.	RESPONSIBILITY	3
3.	SCOPE	3
4.	POLICY STATEMENT	3
6.	THE PROCEDURE	4
	Additional Procedures	
а) Missing or Lost work	5
8.	Associated Policies	6
9.	Appendices:	6
Apı	pendix 1: Internal Assessment Procedure Flow Chart	7
Apı	pendix 2: Missing or Lost Portfolio Procedure Flow Chart	8
Apı	pendix 3: EQUALITY & DIVERSITY IMPACT ASSESSMENT	9
Apı	pendix 4: DATA PROTECTION IMPACT ASSESSMENT	11
Apı	pendix 5: COMMUNICATIONS PLAN	13

1. INTRODUCTION

Northampton College is committed to the implementation of a college wide framework that meets the assessment needs of all individual students and incorporates the diversity of national organisation requirements. It also complies with all regulatory requirements for the retention of students' work and associated records that are subject to internal/external monitoring and audit.

Northampton College will follow each individual awarding organisations regulations and guidelines for the submission and referral of work.

2. RESPONSIBILITY

Deputy Principal: Patrick Leavey

3. SCOPE

This policy covers all aspects of assessment practice at Northampton College to include the following;

- Assessment Planning
- Production of assessment Briefs
- Assessment
- Feedback and Assessment Decisions
- Internal Quality Assurance.

The policy is in place to ensure that assessment processes are in place that enable every student to demonstrate the extent to which they have achieved the intended learning outcomes for their qualification.

Also included in this policy are;

- Missing and Lost Work/Evidence
- Accreditation/Recognition of Prior Learning/Achievement

4. POLICY STATEMENT

- 1) The College will ensure the equitable treatment of all students through the consistency and regularity of assessment practice including keeping all auditable assessment, internal quality assurance/moderation, student registration and certification records in secure locations.
- 2) The College will ensure the requirements of contracting, funding, validating and examination bodies are met.
- 3) The College will conduct assessment practice in line with the requirements of the Awarding Organisations and Ofqual and will adjust processes as required by these regulatory bodies.
- 4) The College has a Malpractice, Maladministration and Plagiarism Policy to ensure the integrity of its qualifications. This policy is aimed at staff and students within the assessment and examination process and ensures any actions required are taken swiftly and in accordance with college, awarding organisation and JCQ guidelines and policies.
- 5) Where a student's assessed work is missing or lost, by either a student of the College, a procedure to safeguard the integrity of the qualification will be implemented in an attempt to secure certification.

6. THE PROCEDURE

At the start of each programme of study students will be provided with written details either in a course handbook or via Microsoft Teams and ProPortal regarding assessment of the learning programme incorporating the following:

- An Assessment Schedule / Calendar / Plan outlining when students expect to receive their assignments/evidence requirements throughout the academic year.
- Deadline dates for submission of work set for assessment purposes.
- Details of actions following failure to meet deadlines will be made clear to the student on induction and throughout the lifetime of the course.
- Details of provision for action planning and target setting.
- Details of the process for extensions to submission dates and how these will be recorded.
- Arrangements for the provision of a receipt for all work submitted for formal assessment.
- Details of where work is to be submitted.
- Details of procedures to deal with referred work.
- Details of the Assessment Appeals Procedure

The exact nature of these details must be in line with the requirements of the relevant awarding organisations. All information must be issued to students at the start of the academic year. The appendices include guidelines for specific types of qualifications but all qualifications will adhere to the following requirements:

- 1) All students will have a clear statement of the assessment requirements for their programme.
- 2) The use of Recognition of Prior Learning will follow the relevant Awarding Organisation guidelines.
- 3) Assessment will be based on outcomes of learning and will be free from unnecessary barriers that might restrict access.
- 4) Assessment methods will be consistent with the requirements of specific qualifications/awards.
- 5) The wide range of assessment methods will relate to the differing needs of individual students and will be applied as appropriate. Students with declared additional support needs will be referred to the School of Academic and Vocational Support (SAVS) via the published processes. Exams Access Arrangements should be identified by curriculum teams and applied for through SAVS via the published processes.
- 6) Assessment decisions on performance and progress will be communicated to students, together with information as to how they may develop further unless prohibited through awarding organisation guidance.
- 7) Current assessment and Internal Quality Assurance paperwork and processes should be used for all assessment. Training and support can be provided by the Quality Office (qualityteam@northamptoncollege.ac.uk).
- 8) Student registration and certification claims will be accurately maintained and made available for scrutiny. In addition, individual awarding organisation requirements will be met.
- 9) Internal Verification/Internal Quality Assurance (IQA) / moderation practice and records will comply with College and the appropriate awarding organisation procedures.

- 10) Records of internal verifier / IQA / moderator standardisation meeting, assessor and IQA competence records and monitoring records of progress towards achievement of the relevant assessor / verifier IQA awards will be maintained and made available for scrutiny.
- 11) Assessment, tracking and progress, internal verification, IQA moderation and student registration and certification records will be retained as per the Data Retention Guidelines available on the Document Library. In addition, individual awarding organisation requirements will be met.
- 12) The College's Assessment Appeals Procedure does not compromise an awarding organisation's procedure, where it applied, and is in addition to it.
- 13) The College may support an appeal by a student against an awarding organisation's assessment decision, where appropriate.
- 14) Verbal feedback will be given to students within 10 working days of submission of formal assessments. Student work will be returned with appropriate written feedback and required IV/IQA monitoring completed, within 15 working days. Any other work submitted by students for marking e.g. classwork or homework, will be returned to students within 5 working days. Assessed work must not be returned to students without the required Internal quality assurance having been completed.
- 15) Processes may be changed in response to external requirements, for example as required by the Ofqual 2020/21 Vocational and Technical Qualifications Regulatory Framework during the Covid-19 pandemic.

7. Additional Procedures

a) Missing or Lost work

Student work should be securely stored in College for a minimum of 12 weeks after certification (or longer if specifically required by an awarding organisation).

In the event of student work requested for sampling by an External Quality Assurer not being able to be located, the following procedure will be followed;

- 1. Curriculum team will check that the student is on programme and has completed the work.
- 2. Curriculum team will contact the students concerned to see whether they have the work. In the case of apprentices, contact will also be made with employers to see whether they have the work.
- 3. If the work is still not found this must be reported to the Quality Office along with the following supporting evidence;
 - Tracking of the students' grades achieved
 - Assessment records
 - IQA records
 - Evidence of attendance in classes/ employment
 - ellP
 - in the case of Apprentices, witness statements from employers may be used as evidence
- 4. The Quality Office will follow Awarding Organisation procedures with regard to reporting the missing portfolio / assessed work.

8. Associated Policies

- IQA Policy and Procedures
- Student Assessment Appeals Procedure
- Examinations Information Booklet
- Malpractice / Maladministration / Plagiarism Policy
- AO Code of Practice(s) from Awarding Organisation websites
- Student Behaviour and Disciplinary Policy
- HE Feedback and Assessment Policy

9. Appendices:

Appendix 1: Internal Assessment Procedure Flow Chart Appendix 2: Missing or Lost Portfolio Procedure Flow Chart Appendix 3: Equality and Diversity Impact Assessment

Appendix 4: Data Protection Impact Assessment

Appendix 5: Communications Plan

Appendix 1: Internal Assessment Procedure Flow Chart

Northampton College Internal Assessment Process

Prior to the commencement of the course;

- An assessment plan for the qualification will be created to include issue and submission dates for every Internally assessed unit
- Internal Assessment Briefs to be written (where Awarding Organisation set assessment briefs are not available)

Prior to assessment being issued to students;

 IQA/IV of Assessment Brief to be completed and actions noted and signed off. (if using Awarding Organisation set assessment briefs. These will still need to be IV/IQAd to ensure dates are correct

Issue of Assessment brief to students

 Assessment brief to be issued to students on the date identified on the assessment plan, with clear guidance regarding the submission requirements

Submission of completed assessment

Completed assessments must be submitted on or before the date stated on the assessment plan.
 Extensions to deadlines must be authorised by the course coordinator or lead IV and this must be recorded

Feedback

- Within 10 working days of submission, students should receive verbal feedback
- With in 15 working days of submission, students work will be returned with written feedback and appropriate IQA/IV having taken place

Recording of grades

Student grades will be entered on to Markbook, including referrals and late submissions

Resubmission of referred work

- Awarding Organisation regulations will be followed in relation to referrals and resubmissions. As a general rule, if eligible for a resubmission, students will be given a maximum of 15 days to complete this
- Timing of feedback for resubmitted work is the same as for 1st submissions. Written feedback should be on the student assessment record
- Where grades have been improved a 2nd IQA/IV sample will be required

Appendix 2: Missing or Lost Portfolio Procedure Flow Chart

Dealing with missing or lost student work

Student work should be securely stored in College for a minimum of 12 weeks after certification (or longer if specifically required by an awarding organisation)

In the event student work requested for sampling by an External Quality Assurer not being able to be located, the following procedure will be followed;

- Curriculum team will check that the student is on programme and has completed the work
- Curriculum team will contact the students concerned to see whether they have the work. In the case of apprentices, contact will also be made with employers to see whether they have the work
- If the work is still not found this must be reported to the Quality Office along with the following supporting evidence;
 - Tracking of the students' grades achieved
 - Assessment records
 - IQA records
 - Evidence of attendance in classes/ employment
 - ellP
 - in the case of Apprentices, witness statements from employers may be used as evidence
- The Quality Office will follow Awarding Organisation procedures with regard to reporting the missing portfolio

Appendix 3: EQUALITY & DIVERSITY IMPACT ASSESSMENT

This template has been designed to help you take action to improve services and practices which affect staff, students and other service users at Northampton College. By completing this template, you would have considered the impact that your policy, practice or service might have on particular social groups within the college community. The exercise will also provide you with the opportunity to demonstrate, where possible, that the College promotes equity, diversity and inclusion.

Once this Equality Impact Assessment has been created, please include on the last page of your policy document.

Policy Details			
What is the policy?	Student Assessment Policy 2023-24		
Is it new or existing?	Existing		
Department	Quality		
Policy Author (postholder title, name)	Hilary Letts – Quality Improvement Lead		
Author of Equality Analysis	Jenny Thorpe – Assistant Principal of Teaching, Learning & Quality		
	Hilary Letts – Quality Improvement Lead		
	Mark Owen Head of Student Services		
Date of completion	15/06/22		

Aim and Objectives

Briefly describe the aims and objectives of the policy

To ensure that assessment procedures and processes meet the needs of all individual students and incorporates the diversity of national organisation requirements.

Policy Assessment

Consider whether your policy might have an impact on various groups identified within the categories listed below and explain why you have reached this conclusion.

Please tick (V) the identified level of impact (positive, negative, or no impact) and provide details of your findings.

	Positive	Negative	No	Findings
	Impact	Impact	Impact	
Race			✓	
Religion and/or belief			✓	
Sex (Gender)			✓	
Gender Identity			✓	
Disability			✓	
Age			✓	
Sexual orientation			✓	
Marriage and/or civil			✓	
partnership				
Pregnancy and/or			✓	
maternity (including				
surrogacy and adoption)				
Other identified group			✓	
(e.g. carers)				

Action Planning		
How do you intend to mitigate or	If a positive impact is identified,	Where negative impact has been
eliminate any negative impact	how do you intend to promote or	identified, can it be justified? If so,
identified?	develop this opportunity?	explain how.
N/A	N/A	N/A

Monitor and Review			
How will you monitor the impact of yo	ur policy once it has been put into effect?		
The policy will be monitored through feedback from services users gathered via:			
Names and position of Impact Assessm	nent Team (min of 3 preferably from areas across the College):		
Name			
Mark Owen	Assistant Principal Student Services		
Hilary Letts	Quality Improvement Lead		
Jenny Thorpe Assistant Principal – Teaching, Learning and Quality			
	•		

Equality Analysis Sign-Off Signature and Date:	
Review Date:	

Appendix 4: DATA PROTECTION IMPACT ASSESSMENT

Data Protection Impact Assessment Does this Policy

• require the collection and use of data in addition that normally collected by the College?

Yes / No (if Yes complete Assessment point number 1)

• require the sharing of data with partners?

Yes / No (if Yes complete Assessment point number 2)

<u>1.</u>	Is additional data being collected? If so please detail:
	Is data collected personal and/or sensitive?
	How will you collect, use, store and delete data?
2.	Will you be sharing data with anyone? Please detail what data, with who and confirm a Data Sharing Agreement is in place.
	Describe the purposes of the processing / sharing: What are the benefits of the processing/ sharing – for you, and more broadly?
	Consider how to consult with relevant stakeholders: describe when and how you will seek individuals' views – or justify why it's not appropriate to do so.
	Describe compliance and proportionality measures, in particular: What is your lawful basis for processing?
	How will you ensure data quality and data minimisation?

Please attach a Risk Assessment if there are significant risks to data protection.

Signed by Data Protection Officer

Name: Julian Wood

Date:

Appendix 5: COMMUNICATIONS PLAN

TITLE OF COLLEGE POLICY:	DATE APPROVED BY
Student Assessment Policy	
	Date:

AUDIENCE (select appropriate with $$)			
Managers	Curriculum teams	Business Support teams	
All staff	Suppliers	Partners	
Other - Students			

CHANNEL (select appropriate with $$)				
Policy & Strategy Team (PST)	Quality Improvement Network (QIN)	Marketing team		
Meeting	Meeting	NC Update Intranet Website		
Individual team	Suppliers	Partners		
Document Library Noticeboards Team meeting Email	e.g. Letter or email Meeting	e.g. Letter or email Meeting		
College Management Team (CMT)	JCNC	CORPORATION		
Meeting	e.g. Meeting Email	e.g. Meeting Email		

COMMUNICATIONS PLAN ACTIVATED BY:				
Name:	Job title:	Date:		
Department				